



- ☐ before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- b. ☒ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☒ a fee of \$180.00.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the Statement; or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three (3) months prior to the filing of the Statement.
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty (30) days prior to the filing of the information disclosure statement;
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) \_\_\_\_\_, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.

7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

The Commissioner is hereby authorized to charge any fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel PC, Deposit Account No. 501505/5181-64400/RCK.

Respectfully submitted,

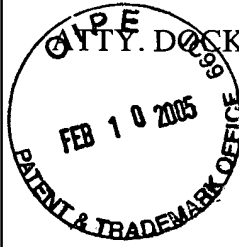


Robert C. Kowert  
Reg. No. 39,255  
Attorney for Applicant(s)

MEYERTONS, HOOD, KIVLIN,  
KOWERT & GOETZEL, P.C.  
P. O. Box 398  
Austin, Texas 78767  
(512) 853-8800

Date: Feb 8, 2005

**Form PTO-1449** (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)



DOCKET NO: 5181-64400

SERIAL NO: 09/660,562

APPLICANT: Saulpaugh et al.

FILING DATE: September 12, 2000

GROUP: 2134

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	E1	6,157,960	12/5/00	Kaminsky et al.			
	E2	5,956,509	9/21/99	Kevner			
	E3	6,134,603	10/17/00	Jones et al.			
	E4	6,408,342	6/18/02	Moore et al.			
	E5	6,104,716	8/15/00	Crichton et al.			
	E6	6,016,516	1/18/00	Horikiri			
	E7	6,654,793	11/25/03	Wollrath et al.			
	E8	6,263,379	7/17/01	Atkinson et al.			
	E9	6,212,578	4/3/01	Racicot et al.			
	E10	5,999,988	12/7/99	Pelegri-Llopart et al.			
	E11	6,282,568	8/28/01	Sondur et al.			
	E12	5,671,279	9/23/97	Elgamal			
	E13	6,185,611	2/6/01	Waldo et al.			
	E14	5,548,726	8/20/96	Pettus			
	E15	6,216,158	4/10/01	Luo et al.			
	E16	2002-0059212	5/16/02	Takagi			

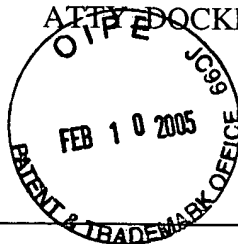
**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	E17	Dave Winer, "XML-RPC for Newbies," July 14, 1998, (7 pages)
	E18	Dave Winer, "XML-RPC Specification," June 15, 1999, (7 pages)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)		ATTY DOCKET NO: 5181-64400 		SERIAL NO: 09/660,562	
		APPLICANT: Saulpaugh et al.			
		FILING DATE: September 12, 2000		GROUP: 2134	
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>					
	E19	Wobbler et al., "Authentication in the Taos Operating System," SIGOPS '93, December 1993, (pages 256-269)			
	E20	M. Satyanarayanan, "Integrating Security in a Large Distributed System," ACM Transactions on Computer Systems, Vol. 7, No. 3, August 1989, (pages 247-280)			
	E21	Andrew P. Black, "The Eden Project: Overview and Experiences," EUUG, September 22-25, 1986, (pages 177-189)			
	E22	Guy T. Almes, "The Evolution of the Eden Invocation Mechanism" Technical Report 83-01-03, January 19, 1983, (15 pages)			
	E23	Beogle et al., "Transparent Sharing of Java™ Applets: A Replicated Approach", IIST 97 Banff, 1997 (pages 55-64)			
	E24	Jul et al., "Fine-Grained Mobility in the Emerald System," ACM Transactions on Computer Systems, Vol. 6, No.1, February 1988, (pages 109-133)			
	E25	Andrew P. Black, "The Eden Programming Language," Technical Report 85-09-01, September, 1985, (19 pages)			
	E26	Eric Jul, "Object Mobility in a Distributed Object-Oriented System," Department of Computer Science, 1989 (pages 1-155)			
	E27	Israel et al., "Authentication in Office Systems Internetworks," ACM Transactions on Office System Information Systems, Vol. 1, No. 3, July 1983 (pages 193-210)			
	E28	Scroeder et al., "Experience with Grapevine: The Growth of a Distributed System", ACM Transactions on Computer System, Vol. 2, No. 1, February 1984, (pages 3-23)			
	E29	Tanenbaum et al., "Distributed Operating Systems," Computer Surveys, Vol. 17, No. 4, December 1985, (pages 419-470)			
	E30	Jennings et al., "Using Intelligent Agents to Manage Business Processes," (16 pages)			
	E31	Douglas Hodges, "Managing Object Lifetime in OLE," August 25, 1994, <a href="http://www.scit.vlv.ac.uk">www.scit.vlv.ac.uk</a> (41 pages)			
	E32	"Java™ Remote Method Innovation Specification," Revision 1.4. JDK 1.1 FCS. February 10, 1997, (page 1-90)			
	E33	Felix Samson Hsu, "Reimplementing Remote Procedure Calls," Thesis – University of Washington, March 22, 1985, (pages 1-106)			
	E34	Ciancarini et al., "Coordinating Distributed Applets with Shade/Java," Dipartimento di Scienze dell'Informazione, University of Bologna – Italy, 1998 ACM 0-89791-969-6/98/0002, (pages 130-138)			
	E35	Lampson et al., "Authentication in Distributed Systems: Theory and Practice," ACM Transactions on Computer Systems, Vol. 10, No. 4, November 1992, (pages 265-310)			
EXAMINER:		DATE CONSIDERED:			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.					

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.